



Patent
Attorney's Docket No. 032491-044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
)
 LUO et al.) Group Art Unit: 3737
)
 Application No.: 09/456,110) Examiner: Unassigned
)
 Filed: December 7, 1999)
)
 For: METHOD AND SYSTEM FOR)
 TREATING STROKE USING)
 HYPOTHERMIA)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

United States Patents

1. Clifton, U.S. Patent No. 5,486,204.

Publications

1. Bruder, et al., (1998), "Influence of body temperature, with or without sedation, on energy expenditure in severe head-injured patients", *Crit Care Med* 26(3):568-572, Publisher: Department d'Anesthésie-Réanimation (Drs. Bruder, Pellissier, Courtinat, and François), CHU Timone; Hôpital d'Instruction des Armées Lavéran (Dr. Raynal), Marseille, France.
2. DeWitt, et al., (1998), "Accurate measurement of brain temperature", *Crit Care Med*, 26(3):431-432, Publisher: Department of Anesthesiology, University of Texas Medical Branch, Galveston, TX 77555-0830.

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3. Henker, et al. (1998), "Comparison of brain temperature with bladder and rectal temperatures in adults with severe head injury", *Neurosurgery*, **42(5)**:1071-1075, Publisher: Department of Acute/Tertiary Care (RAH), School of Nursing, and Department of Neurosurgery (SDB, DWM), School of Medicine, University of Pittsburgh, Pittsburgh, Pennsylvania.

4. Maher and Hachinski, (1993), "Hypothermia as a potential treatment for cerebral ischemia", *Cerebrovascular and Brain Metabolism Reviews*, **5**:277-300, Publisher: Department of Clinical Neurological Sciences, University of Western Ontario, London, Ontario, Canada.

5. Marion, et al., (1997), "Treatment of traumatic brain injury with moderate hypothermia", *The New England Journal of Medicine*, **336(8)**:540-546, Publisher: Brain Trauma Research Center, University of Pittsburgh Medical Center, Pittsburgh, Pennsylvania.

6. Rumana, et al. (1998), "Brain temperature exceeds systemic temperature in head-injured patients", *Crit Care Med*, **26(3)**:562-567, Publisher: Department of Neurosurgery, Baylor College of Medicine, Houston, Texas.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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By: 
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Date: April 23, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
LUO et al.

Application No.: 09/456,110

Filed: December 7, 1999

For: METHOD AND SYSTEM FOR
TREATING STROKE USING
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Group Art Unit: 3737

Examiner: Unassigned



**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a Supplemental Information Disclosure Statement, accompanying form PTO-1449 and 7 references for the above-identified patent application.

- No additional fee for submission of an IDS is required.
- The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.
- A check in the amount of \$ _____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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